

CMFI Email Alert – SEC Views on Third Party Mutual Fund Accounts
Thursday, January 29, 2009

The Coalition of Mutual Fund Investors (CMFI) has received a response to its December 2008 letter to then-SEC Chairman Christopher Cox, urging the Commission to tackle the problems of omnibus accounts and third-party payments to intermediaries. Both of these regulatory problems require significantly more transparency, according to the Coalition's letter of December 15, 2008.

The SEC's response reflects the current views of the staff at the agency. Click here to read this SEC letter: <http://www.investorscoalition.com/SECLetterJan2009.pdf>

A copy of the Coalition's December letter can be found on the Regulatory Action page of the CMFI website (www.investorscoalition.com).

Thank you for your interest in the Coalition and its advocacy efforts.

Niels Holch
Executive Director
Coalition of Mutual Fund Investors

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